

# UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

Address to:  
Commissioner for Patents  
Box PATENT APPLICATION  
Washington, DC 20231

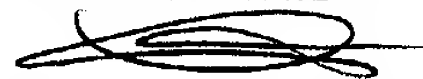
Attorney Docket No. **YANG3072/EM/7273**  
First Named Inventor (or identifier) **Tai Her YANG**  
Total Pages **95**

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled: **Rotor Axial Activation Modulation of Electric Machinery Due To Centrifugal Force**

- ☒ 1. Submitted herewith are the following:
- 66 pages of specification, including Claims and Abstract
  - 24 sheet(s) of formal drawings
  - 58 claim(s).
  - 1 Oath/Declaration signed by each inventor.
  - 0 Preliminary Amendment.
  - 0 Information Disclosure Statement(s).
  - 0 pages of Form PTO-1449, and one copy of each document listed thereon.
  - 0 Assignment of the invention, Cover Sheet, and payment of the \$\_\_\_\_\_ recordal fee.
  - 0 certified copy of application no. \_\_\_\_\_ filed in \_\_\_\_\_. Priority is claimed.
  - 1 check in the amount of \$832.
- ☒ 2. **SMALL ENTITY STATUS IS ASSERTED** pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: - - This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. - -
- ☐ 5. Insert before the first sentence of the specification: - - This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. - -
- ☒ 6. **Other: Application Data Sheet**

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$710.00
Total Claims:	58	- 20 =	38	X \$18 =	684.00
Independent Claims:	1	- 3 =		X \$80 =	
Correspondence Address: <b>BACON &amp; THOMAS, PLLC</b> 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$270.00):	270.00
				Subtotal:	1664.00
				50% Reduction if Small Entity Status:	832.00
Phone: 703-683-0500 Fax: 703-683-1080				Total:	832.00
Date:		Name:		Signature:	
September 27, 2001		Eugene Mar			
				Reg. No.	
				25,893	

(16MAY01)

11129 U.S. PTO  
09/27/01

09553375-092701

09/27/01

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/01/2001 SFELEKE1 00000001 09963375

01 FC:201	355.00 OP
02 FC:203	108.00 OP

Repln. Ref: 10/01/2001 SFELEKE1 0010381600  
DAH:020200 Name/Number:09963375  
FC: 704 \$369.00 CR

PTO-1556  
(5/87)